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AMMA

Candidate Handbook

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INTRODUCTION

The Australian Mines and Metals Association (AMMA) team is proud to welcome you on board. We trust that you will find the time we share challenging, rewarding and fun. Our aim is to equip you with the knowledge, skills and confidence you require to perform your role within the resource sector and/or to enhance your career with further studies.

During your time with us, you will be exposed to a variety of experiences and challenges. The course will provide a mix of theory and practical skill training. We will also offer you an opportunity to build your confidence and motivation with a view to prepare you for an exciting career.

Upon enrolling, you have rights and responsibilities, most of which are outlined in this handbook. You are naturally welcome to ask us for further information if you have questions which are not covered below.

The quality of your experience with AMMA depends largely on your motivation and commitment. Meet the challenge and we will do our best to ensure that the benefit to you meets or exceeds your expectations.

This Candidate's Handbook has been prepared for Candidates enrolling in training programs with the Australian Mines and Metals Association (AMMA). It provides essential information that will assist you as a prospective Candidate prior to enrolment to make an informed decision regarding enrolment.

In this handbook you will find information regarding:

- The structure and operations of AMMA
- Training & assessment services on offer
- Procedures for recognition of prior learning
- Complaints and appeals processes
- Policies regarding safety and discrimination
- Candidate services and the privacy of your information,

The Australian Quality Training Framework (AQTF) is the national set of standards which assures nationally consistent, high-quality training and assessment services for the clients of Australia's vocational education and training (VET) system. The AQTF was initially established in 2001 for implementation in 2002 and updated again to be effective from 1 July 2010.

In 2011 the Standards for NVR Registered Training Organisations made under the National Vocational Education and Training Regulator Act 2011 issued by the authority of the Minister for Tertiary Education, Skills, Jobs and Workplace Relations were introduced.

The objectives of the NVR Standards are to ensure nationally consistent, high-quality training and assessment services for the clients of Australia's Vocational Education and Training (VET) system.

The Standards form part of the VET Quality Framework. As defined in section 3 of the Act, the VET Quality Framework is comprised of the Standards for NVR Registered Training Organisations, the Australian Qualifications Framework, the Fit and Proper Person Requirements, the Financial Viability Risk Assessment Requirements and the Data Provision Requirements.

The Standards are based on the existing Australian Quality Training Framework (AQTF) by training organisations for initial and continuing registration and has adopted parts of the existing AQTF standards for initial and continuing registration for training organisations.

Compliance with the Standards is a condition for all NVR registered training organisations and for applicants seeking registration under the Act.

AMMA is committed to best practice, and is continually seeking improvement in the development and delivery of training programs.

Best of luck with your studies.



Janine Temple
Director Training and Development
CEO, AMMA RTO

CONTACT DETAILS

The staff at AMMA are available to answer any questions that you may have regarding the information in this handbook and can be contacted on:

AMMA Training and Development

Level 7, 12 St Georges Terrace

Perth WA 6000

P (08) 6218 0700 or 1800 891 662

F (08) 9221 5422

E training@amma.org.au

W www.amma.org.au/training

Important Note

All mail correspondence and Candidate assessments should be sent to the above address to ensure it is directed to the appropriate staff member.

Online Candidate assessments should be emailed to assessments@amma.org.au to ensure assessments are logged and directed to the appropriate trainer.

ORGANISATIONAL CHART



STANDARDS FOR NATIONAL VET REGULATOR (NVR) REGISTERED TRAINING ORGANISATIONS

Purpose and Operation

The objectives of the NVR Standards are to ensure nationally consistent, high-quality training and assessment services for clients of Australia's Vocational Education and Training (VET) system.

The Standards form part of the VET Quality Framework. As defined in section 3 of the Act, the VET Quality Framework is comprised of the Standards for NVR Registered Training Organisations, the Australian Qualifications Framework, the Fit and Proper Person Requirements, the Financial Viability Risk Assessment Requirements and the Data Provision Requirements.

The Standards are based on the existing Australian Quality Training Framework (NVR) by training organisations for initial and continuing registration and has adopted parts of the existing NVR standards for initial and continuing registration for training organisations.

Compliance with the Standards is a condition for all NVR registered training organisations and for applicants seeking registration under the Act.

A focus on outcomes

The Standards and their elements specify the key requirements to be met by each RTO. The Standards for NVR Registered Training Organisations do not specify detailed processes but explain the outcomes to be achieved through the application of each Standard. An RTO must be able to show, through systematic approaches to management and continuous improvement, that it is focused on improving its outcomes in relation to each Standard.

The NVR Standards Quality Indicators support the outcomes focus of the Standards by giving an overall picture of how well an RTO is meeting client needs.

A systematic approach

A systematic approach to the management and delivery of services helps an RTO to meet the registration requirements throughout the registration period. Systematic approaches are planned, purposeful and repeatable actions to improve products and services for clients. Because RTOs vary in size and complexity, the processes they use to comply with the Standards for NVR Registered Training Organisations will differ considerably. For example, the quality of outcomes to be achieved by a one-person RTO, a secondary school offering school-based apprenticeships, a community-based provider, an enterprise-based RTO and a TAFE institute may be the same, but the differences in the scale and operating context of these five organisations may lead to differences in the nature of their systematic approaches and the management systems they use.

Continuous improvement

Continuous improvement is an integral part of the NVR Standards. Continuous improvement processes refer to the continual enhancement of an RTO's performance so that the changing needs of clients and industry continue to be met. Continuous improvement does not relate to actions to achieve compliance as such actions are considered rectifications.

An effective quality system includes processes that encourage and achieve continuous improvement. For RTOs this means developing a planned and ongoing process to systematically review and improve policies, procedures, products and services through analysis of relevant information and collection of data from clients and other interested

parties, including staff. Data from the Quality Indicators provides a key tool for continuous improvement.

The value for RTOs of adopting a continuous improvement cycle is in its potential to create a stronger, more sustainable business that meets the needs of clients and stakeholders. Such a cycle also enables RTOs to adapt quickly to changing external environments, such as economic factors and skills needs. Types of continuous improvement processes and tools are not prescribed and RTOs have the flexibility to consider their own business context and make improvements based on feedback from their clients and stakeholders.

Access and equity

The National Skills Framework has a strong focus on providing opportunities for everyone to access and participate in learning and to achieve their learning outcomes. An RTO needs to ensure that its practices are as inclusive as possible and do not unreasonably prevent any clients from accessing its services. While access and equity are explicit in the second Standard, they are relevant to all three.

Governance

AMMA manages its training programs in accordance with VET state and territory legislation and regulations. As part of its training package, AMMA encourages all Candidates to be familiar with the relevant legislation, Acts and the licensing authorities' requirements and how they impact on their workplace.

The legislation is continually being amended and all relevant staff members of AMMA will be made aware of any changes, through memos and monthly Special Interest Group (SIG) meetings.

Current legislation is available online at www.austlii.edu.au/au/

Commonwealth Legislation:

- [COPYRIGHT ACT 1968](#)
- [PRIVACY AMENDMENT ACT 2004](#)
- [SEX DISCRIMINATION ACT 1984](#)
- [RACIAL DISCRIMINATION ACT 1975](#)
- [AGE DISCRIMINATION ACT 2004](#)
- [DISABILITY DISCRIMINATION ACT 1992](#)
- [NATIONAL VOCATIONAL EDUCATION AND TRAINING REGULATOR ACT 2011](#)
 - Compliance with conditions of registration s21
 - Compliance with VET quality framework NVRA s22
 - Fit and Proper Person Requirements 2011 (FPPR) NVRA s23
 - Satisfying the financial viability and risk requirements NVRA s24
 - Notifying NVR of material changes s25
 - Providing information upon request s26
 - Cooperation with NVR s27
 - Compliance with directions form NVR s28
 - Other conditions that NVR may impose s29

Queensland Legislation:

- [CHILD PROTECTION ACT 1999](#)
- [DISABILITY SERVICES ACT 2006](#)
- [FAIR TRADING ACT 1989](#)

- [VOCATIONAL EDUCATION, TRAINING AND EMPLOYMENT ACT 2000](#)
- [WORK HEALTH and SAFETY ACT 2011](#)

Western Australia Legislation:

- [CHILDREN AND COMMUNITY SERVICES ACT 2004](#)
- [DISABILITY SERVICES ACT 1993](#)
- [FAIR TRADING ACT 2010](#)
- [VOCATIONAL EDUCATION AND TRAINING ACT 1996](#)
- [OCCUPATIONAL SAFETY AND HEALTH ACT 1984](#)

Training Authorities:

- National VET Regulator (NVR) - <http://www.asqa.gov.au/about-asqa/national-vet-regulation/standards-for-nvr-registered-training-organisations.html>
- Department of Education, Employment & Workplace Relations (DEEWR) - <http://deewr.gov.au/>
- Australian Skills Quality Authority (ASQA) - <http://www.asqa.gov.au/>

CANDIDATE OVERVIEW

What courses can I study with Australian Mines and Metals Association?

AMMA strictly adheres to NVR Standards with all programs aligned to the qualifications contained in the BSB07 Business Services, the TAE10 Training and Education and the RII09 Resources and Infrastructure Industry Training Packages ensuring best practice in service and delivery at all times.

Currently AMMA is able to offer Candidates accredited training in the following qualifications:

- BSB40807 Certificate IV in Frontline Management
- BSB41007 Certificate IV in Human Resources
- BSB41407 Certificate IV in Occupational Health and Safety
- BSB41507 Certificate IV in Project Management
- BSB50607 Diploma of Human Resources Management
- BSB51107 Diploma of Management
- BSB51307 Diploma of Occupational Health and Safety
- RII20209 Certificate II in Surface Extraction Operations
- RII20509 Certificate II in Resource Processing
- TAE40110 Certificate IV in Training & Assessment

What qualification will I receive?

Upon successful completion of your course with AMMA you will be eligible to receive the following award.

Course	Certification
BSB40807 Certificate IV in Frontline Management	Qualification
BSB41007 Certificate IV in Human Resources	Qualification
BSB41407 Certificate IV in Occupational Health and Safety	Qualification
BSB41507 Certificate IV in Project Management	Qualification
BSB50607 Diploma of Human Resources Management	Qualification
BSB51107 Diploma of Management	Qualification
BSB51307 Diploma of Occupational Health and Safety	Qualification
RII20209 Certificate II in Surface Extraction Operations	Qualification
RII20509 Certificate II in Resource Processing	Qualification
TAE40110 Certificate IV in Training & Assessment	Qualification

How is training delivered?

Training courses with AMMA are delivered by

- Face to face classroom training
- On-line seminars
- Blended learning
- Correspondence

What are the prerequisites?

Prerequisites are specific to individual courses. Please consult the course outline for your chosen course for prerequisite information.

How do I enrol?

Enrolment is initiated by you contacting AMMA and we will despatch to you by suitable means an enrolment form and literature on the course(s) being considered and any other relevant documentation.

Fee Structure

Total course fee

Each qualification, unit of competency or accredited course offered by AMMA has a specific course fee. The course fee is the maximum fee that may be charged to the Candidate for his /her selected training program.

It is AMMA's policy that the course fee will be all inclusive. Candidates will not be 'surprised' by unexpected requirements, fees or expenses. Inclusions:

- All tuition
- Learning guides
- Training plan
- Support and coaching
- Classroom and facilities
- Upon completion formal certification

Where additional resources normally associated with a program of study are required, (reference material, research documents, own computer for example) the Candidate will be clearly advised of exactly what is required in the Candidate study guide for that program.

Program fees are:

- | | |
|---|---------|
| • BSB40807 Certificate IV in Frontline Management | \$3,300 |
| • BSB41007 Certificate IV in Human Resources | \$3,300 |
| • BSB41407 Certificate IV in Occupational Health and Safety | \$2,900 |
| • BSB41507 Certificate IV in Project Management | \$3,300 |
| • TAE40110 Certificate IV in Training & Assessment | \$2,900 |
| • BSB50607 Diploma of Human Resources Management | \$3,800 |
| • BSB51107 Diploma of Management | \$3,800 |
| • BSB51307 Diploma of Occupational Health and Safety | \$3,800 |

The following qualifications are delivered via a partnership – fees will vary depending on the RTO and the partner's areas of responsibility.

- RII20209 Certificate II in Surface Extraction Operations
- RII20509 Certificate II in Resource Processing
- RII30111 Certificate III in Surface Extraction Operations
- RII30311 Certificate III Underground Metaliferous Mining
- RII30411 Certificate III Resource Processing

Payment required in advance

A purchase order or payment of \$1,000 from each Candidate is required prior to the commencement of training.

Enrolment fee

No enrolment fee is applicable as inclusive in Candidate fees

Withdrawal fee

No withdrawal fee is applicable

Re-assessment fee

Reassessment fee of \$175 will only apply if a *third* assessment is required for the same unit of competency.

Produce partial completion: Statement of Attainment

No fee applies to produce a Statement of Attainment when the Candidate has partially completed the training program and must withdraw.

Re-print certification

Where the Candidate requests a new copy of his / her certification the following fees apply:

- Statement of Attainment \$33+GST
- Qualification (with academic transcript) \$88+GST

Contact Us

Level 7, 12 St Georges Terrace,

Perth WA 6000

Phone: 08 6218 0700

Email: shane.obrien@amma.org.au

The following document outlines in detail the training and assessment services that are offered by AMMA as well as the client services that you will have access to as a Candidate and the policies and procedures that support those services.

Contractual Agreement

Candidates who enrol in a training program with AMMA should be aware that they are entering into a contractual agreement. With a view to ensuring all Candidates are fully aware of their rights and obligations AMMA will design agreements, enrolments forms, service agreements or similar using a logical format and simple English. This may include but is not limited to:

- Wording that allows the perspective Candidate to know what he / she is agreeing to
- Clearly explained disclaimers
- No misleading or deceptive behaviour
- No actions, omissions or dialogue (written or verbal) that may force or coerce the Candidate
- Fair dealings for disadvantaged Candidates

Australian Competition and Consumer Commission (ACCC)

The Australian Competition and Consumer Commission is an independent Australian Government statutory authority. The ACCC promotes competition and fair trade in the market place to benefit consumers, business and the community. It also regulates national infrastructure services. Its primary responsibility is to ensure that individuals and businesses comply with the Commonwealth competition, fair trading and consumer protection laws. In fair trading and consumer protection its role complements that of the state and territory consumer affairs agencies which administer the mirror legislation of their jurisdictions, and the Competition and Consumer Policy Division of the Commonwealth Treasury.

<http://acc.gov.au>

Training Guarantee

It is the intention of the RTO CEO of AMMA, that all students will receive the full training services paid for at all times. Including but not limited to: training and assessment; assessment only; recognition of prior learning or short courses. The corporate structure, governance and financial management systems and processes guarantee the training for students enrolled with AMMA. Specifically, the integrity, business experience and training expertise of the RTO CEO ensure continuity of training and completion of training is guaranteed for all students. The continuous improvement and quality management practices employed by AMMA's RTO CEO and staff are designed to proactively identify any anomaly that might cause a business interruption or training failure and address this situation before any students are affected.

Training continuity and training completion is also guaranteed by the policy and procedure system developed by Australian Mines and Metals Association. For example; the recruitment, induction and staff professional development policies and procedures ensure best practice and minimise the potential for business interruption or training failure.

In the extremely unlikely event of a business interruption or training failure, Candidates' training is guaranteed by the financial management policy and procedure of Australian Mines and Metals Association. Fees paid in advance are not transferred to the operating account until training commences. At any one time only \$500 is 'drawn down' (proportionate to the expenses incurred in training) for any one student. This means that in the unlikely event of a business interruption or training failure, AMMA can issue a Statement of Attainment for the training completed and refund the remaining funds held.

TRAINING SERVICES

Registered Training Organisations provide services for a range of clients, including but not limited to; Indigenous Councils, Multinational and Private Companies, Fee for Service, Government Funded, Trainees and not-for-profit Community Organisations.

As an established, well respected training organisation with extensive industry experience, AMMA has provided services to a number of clients across Australia. AMMA strictly adheres to the ASQA standards to continue delivering training services of the highest quality to their clients. All programs offered by AMMA are aligned to the BSB07 Business Services, the TAE10 Training and Education and the RII09 Resources and Infrastructure Industry Training Packages for quality assurance and best practice.

Currently AMMA is able to offer Candidates accredited training in the following qualifications:

- BSB40807 Certificate IV in Frontline Management
- BSB41007 Certificate IV in Human Resources
- BSB41407 Certificate IV in Occupational Health and Safety
- BSB41507 Certificate IV in Project Management
- TAE40110 Certificate IV in Training & Assessment
- BSB50607 Diploma of Human Resources Management
- BSB51107 Diploma of Management
- BSB51307 Diploma of Occupational Health and Safety
- RII20209 Certificate II in Surface Extraction Operations
- RII20509 Certificate II in Resource Processing

AMMA recognises the importance and benefits of combining industry experience with tertiary education when striving to deliver programs of highest quality and relevance to the client. Therefore all trainers and assessors employed by AMMA have demonstrated significant industry experience in addition to obtaining tertiary qualifications, allowing them to provide a professional, well-rounded learning environment for Candidates. Staff are equipped with the skills to ensure their teaching methods are suitable for all Candidates, utilising simple language where appropriate to communicate information most effectively.

The CEO RTO recognises that opportunities for improvement arise in every aspect of business and has developed an organisational culture within AMMA to capitalise on these opportunities for improved practice. AMMA supplies feedback forms to all Candidates at the end of each program, as Candidate feedback has been identified as an important and valuable factor in monitoring and developing business practices and quality training, and ensuring the ever-changing needs and expectations of clients are being met. The CEO RTO also welcomes feedback from other improvement opportunities such as risk assessment, Candidate suggestions, complaints and appeals, validation sessions and audit reports. As a Candidate with AMMA your feedback is critical to our continuous improvement policy. Along with the formal feedback mentioned earlier, Candidates are encouraged to give feedback throughout their enrolment. In order to encourage and achieve continuous improvement based on the collection of the above mentioned data, AMMA has developed a best practice record and register which will include a written record of all improvement strategies and reviews.

COMPLAINTS & APPEALS

AMMA strive to ensure that each Candidate is satisfied with their learning experience and outcome. In the unlikely event that this is not the case, all Candidates have access to rigorous, fair and timely complaints and appeal processes which are outlined below. Any complaints and appeals will be reviewed as part of the continuous improvement process and where corrective action has been highlighted it will be implemented as a priority.

Complaint Policy

A complaints procedure is available to all persons wishing to make a complaint, appeal or any other manner of objection in relation to the conduct of Australian Mines and Metals Association. The complaints procedure will address both formal and informal complaints. All formal complaints will be submitted in writing to AMMA management and will be heard and addressed within fifteen (15) working days of receipt.

AMMA management will maintain a Complaints Register to document the course of action and resolution of all formal complaints. All complaints substantiated by the complaints procedure will be reviewed as part of the AMMA continuous improvement procedure. It is the responsibility of AMMA management to ensure adherence to the complaint procedure and that resolution is sought in all reasonable circumstances. This includes informing and assisting Candidates with the complaints procedure and supply of complaint forms.

If the Candidate is still not satisfied with the resolution of the complaint after following and exhausting the complaints procedure, the “National Guideline for Complaints” directs them to seek further assistance from the Disputes Settlement Centre, whose details are listed below:

Disputes Settlement Centre

A Division of the Department of Justice

4 / 456 Lonsdale St
GPO Box 4113
Melbourne, Victoria, 3000

Phone: 03 9603 8370
Free Call: 1800 658 528
Email: dscv@justice.vic.gov.au

A copy of the National Guideline for Complaints is available from AMMA management.

This process will be reviewed to align with the national guidelines for complaints.

Appeals

The AMMA appeals process is concerned with a Candidate's right to request change to decisions or processes of an official nature, usually in relation to academic or procedural matters.

In the case of a Candidate's appeal against specific assessment decisions, the Candidate should first discuss the decision/s with the relevant trainer or assessor and request re-evaluation. The trainer or assessor will hear the Candidate's appeal, make fair judgement to the best of their ability as to whether change/s are required, then discuss their final decision with the Candidate.

If the Candidate is still dissatisfied with the trainer or assessor's decision, they have the right to take the appeal to the management team. The formal notice of appeal is required to comply with the following principles upon submission to management:

- The notice of appeal should be in writing, addressed to AMMA for referral to the management team and submitted within seven (7) days of notification of the outcome of the trainer or assessors re-evaluation process.
- The notice of appeal must be submitted within the specified time frame otherwise the original result will stand.
- If a Candidate's appeal needs to be deferred due to emergency circumstances, such as in the case of serious illness or injury, a medical certificate supporting the case must be forward to management. The notice of deferral must be submitted within three (3) working days of the conclusion date displayed on the medical certificate.

It is the responsibility of AMMA management to ensure adherence to the appeal procedure and that resolution is sought in all reasonable circumstances. This includes informing and assisting Candidates with the appeal procedure and supply of appeal forms.

If the Candidate is still not satisfied with the resolution of the complaint after following and exhausting the Appeals Procedure, the "National Guideline for Complaints" directs them to seek further assistance from the Disputes Settlement Centre.

Complaints / appeals procedure

All persons wishing to make a complaint, appeal or any other manner of objection in relation to the conduct of AMMA have access to the following procedure:

Informal complaint:

- An initial complaint or appeal will involve the Candidate communicating directly with AMMA verbally or by other appropriate means. AMMA will make a decision, discuss their judgement with the Candidate and record the outcome of the complaint or appeal.
- Candidates dissatisfied with the outcome of AMMA's decision may initiate the formal complaint procedure.

Formal complaint / appeal:

- It is normal procedure that all formal complaints proceed only after the initial informal complaint or appeal procedure has been finalised.
- The formal complaint or appeal is to be submitted in writing, and the procedure and outcome recorded by AMMA management.
- On receipt of a formal complaint, AMMA will convene the complaint committee to hear the complaint.
- The complaint committee will consist of a panel of with no previous involvement or vested interest in the outcome of the particular complaint or appeal. Members of the committee should include:

- Australian Mines and Metals Association
- AMMA staff member
- A person independent of AMMA (e.g. Richard Turner of TBS Consulting)
- The complainant / applicant shall be given an opportunity to present the case to the committee and may be accompanied by one other person as support or as representation.
- Staff member/s involved shall be given an opportunity to present their case to the committee and may be accompanied by one other person as support or as representation.
- The complaint committee will reach a decision on the complaint or appeal after consideration of each case presented.
- The complaint committee will inform all parties involved of the outcome in writing within five (5) working days of making the decision.

All complaints and appeals will be reviewed at AMMA monthly management meetings. Continuous improvement procedures may be actioned when the complaint procedure results in identification of factors appropriate for improvement to internal operations. When the initial causative factor of the complaint identifies a problem with current AMMA policies and / or procedures, the continuous improvement procedure will ensure changes are made to prevent reoccurrence of the problem.

Occupational Health and Safety Policy

The Workplace Health and Safety Act 2011 outlines the requirements of a PCBU and Officers in establishing and maintaining Work Health and Safety standards. AMMA will comply with relevant state legislation to ensure a safe place of work for all workers and visitors.

In order to meet these requirements AMMA has initiated procedures, policies, guidelines and work instructions, practising an ongoing commitment to occupational health and safety. The purpose of this section is to present a strategic overview of the AMMA safety system and to provide guidance for meeting the requirements of occupational health and safety on the AMMA premises, ensuring a high standard of workplace health and safety.

It is obligation under legislation that all AMMA employees and management contribute to and assist in maintaining occupational health and safety and risk management operations as part of their role within the RTO. AMMA management is responsible for providing the following standards as part of the RTO's commitment to employees and clients:

- A safe workplace, with a safe system of work
- Adequate occupational health and safety professional development for AMMA Candidates, employees, management and stakeholders
- Properly maintained facilities and equipment
- A clean, tidy, suitably designed work place with the safe storage of goods such as chemicals if relevant.

The following procedures and standards must be observed to achieve a safe working and learning environment:

- Maintain a safe, clean and efficient, working environment
- Evacuation plan, (Fire and Bomb)
- Emergency Control
- Accident/ Incident reporting
- Rehabilitation
- Risk identification reporting
- PPE/chemicals (Storage)
- Manual Handling Techniques and Training

- Store and dispose of waste according to OH&S regulations
- Equipment checks and maintenance
- Equipment safe storage
- Fire hazards identified and Fire Prevention
- Candidate safety
- Unsafe situations identified and reported
- First aid and safety procedures displayed, for all RTO staff and Candidates to see

Harassment and Discrimination Policy

Under Australian law it is a requirement of every workplace to ensure it provides an environment free from all forms of harassment and discrimination, including victimisation and bullying. In doing so all staff and Candidates are treated fairly and have the opportunity to feel safe, valued and respected.

By definition, harassment includes any form of behaviour that is unwanted, unwelcome or unreciprocated by relevant persons. This may manifest as verbal or physical harassment, but includes any acts that may be perceived as humiliating, offensive, intimidating, threatening, discriminatory or otherwise contributing to an unpleasant workplace or experience for the persons.

In the event that a person considers that he or she has been or is being harassed, this person should be encouraged to inform the other party that their behaviour is objectionable and should not be continued, provided they are comfortable with confronting the offender. In instances where the person is not comfortable discussing matters the offending party, a trainer or other AMMA staff member should be informed of the situation. In this case it becomes the responsibility of the relevant staff to follow AMMA policy procedures to rectify the situation.

All Candidates and staff working with AMMA have the right to discuss matters of harassment with the relevant members of staff without making a formal complaint; all discussions are dealt with in confidentiality. The right to lodge a formal complaint of misconduct against the offending party is available and will be actioned according to AMMA policy and procedures.

AMMA ensures that all staff are adequately trained in dealing with harassment and discrimination in order to fulfil their roles and responsibilities in creating and contributing to a harassment and discrimination free workplace. In addition to relevant training, AMMA management provides opportunities for communication and mentoring amongst staff to ensure that all employees understand and correctly apply the processes and procedures involved in identifying and addressing of all forms of harassment and discrimination.

AMMA Staff and Candidates should be aware of the following definitions:

Racial Harassment

Involves a person or persons being threatened, abused, insulted or taunted in relation to their race, decent, nationality, colour, language, ethnic origin or any other racial characteristic. It may include but is not limited to; derogatory remarks, innuendo or slur, gestures, intolerance, mockery, displays of material prejudice towards a race, racial jokes, discrimination, exclusion, allocation of least favourable jobs or positions or unfair treatment.

Sexual Harassment

Involves any verbal or physical conduct of a sexual nature which is inappropriate, unwelcome or uninvited. It may include but is not limited to; sexually related physical contact such as kissing, embracing, pinching or other suggestive gestures, intimidation, coercion, requests for or promising of sexual favours, questions about a person's private or sexual life, sexist or explicit jokes, unwelcome phone calls, emails or other forms non-work related communication, offensive noises or displays of sexually graphic or suggestive material.

Bullying

Involves any behaviour that suggests a real or perceived power over another party or otherwise undermines a person or group, generally comprised of repeated, persistent acts over a period of time. It may include but is not limited to; verbal abuse, physical assault, intimidation, humiliation, unjustified criticism, sarcasm, insults, false or malicious rumours, exclusion or isolation, inflicting unnecessary work stresses, sabotage of a person's work or their ability to work by withholding resources or information.

Confidentiality

Relates to privacy of information, ensuring that the information is only accessible to those who have the authority to access it. Within an RTO this may refer to private verbal discussions, Candidate assessments, managerial decisions and legal proceedings.

Discrimination

Involves the unfair or unequal treatment of another person based solely on class or category. Equal opportunity laws prohibit discrimination on the grounds of sex, marital status, pregnancy, family responsibility, family status, race, religious beliefs, political conviction, gender history, impairment, age or sexual orientation. All forms of victimisation are also treated as a type of discrimination.

Harassment

Involves any behaviour intended to disturb, offend or upset. It may include any unwelcome or uninvited verbal or physical action that results in a person feeling intimidated, offended, humiliated or embarrassed. Equal opportunity laws prohibit harassment on the grounds of sex and race.

Personnel

Refers to all employees contractors of Australian Mines and Metals Association.

Victimisation

Involves any process which results in the unfavourable treatment of a person on unjust terms. It may include but is not limited to; unfair punishment, treating a person poorly for their involvement in a complaint, to swindle or defraud a person, adverse changes to another's work environment or denial of access to work related resources.

Specific principles:

- It is the right of all staff and Candidate's to work and study in an environment free of any form of harassment and discrimination,
- All reports of harassment and discrimination will be treated seriously, in an unbiased, respectful and sensitive manner. Any form of harassment and discrimination is considered unacceptable behaviour and will not be tolerated by Australian Mines and Metals Association,
- When AMMA management is informed of any event involving harassment or discrimination, it is their responsibility to take immediate and appropriate action to address it,
- In dealing with all complaints, the rights of all individuals involved should be respected and confidentiality should be maintained.

- All complaints presented to AMMA should be resolved by a process of discussion, cooperation and conciliation wherever possible. The aim is to achieve an acceptable outcome for the involved parties while minimising any potential damage to the organisation,
- Both the person making the complaint, and the person against whom the complaint has been made, will receive information, support and assistance in resolving the issue from AMMA management victimisation is unacceptable and will not be tolerated. No person making a complaint, or assisting in the investigation of a complaint, should be victimised,
- Harassment or discrimination should not be confused with legitimate comment and advice (including constructive feedback) given appropriately by management or trainers. Managers and trainers should be conscious of how they present their feedback to ensure the message is not misinterpreted.
- Staff and Candidates should not make any frivolous or malicious complaints. All staff and Candidates are expected to participate in the complaint resolution process in confidence that the procedures are designed to ensure fair resolution.

Privacy

AMMA considers Candidate privacy to be of upmost importance and will practice a high standard of care and concern in regards to maintaining Candidate privacy in all aspects of business operations. AMMA will comply with all legislative requirements including the Privacy Act and National Privacy Principles (2001).

On enrolment each Candidate is given a Privacy Consent Form to read and sign, stating that they give permission for AMMA to provide basic personal details to prospective employers. In usual circumstances, the only details required by a prospective employer would include the Candidate's name, telephone number and suburb of residence, and would be supplied to employers when the Candidate has achieved the qualification.

This service is provided for the purpose of improving the Candidate's employment opportunities and should not be used in any other circumstances without explicit consent. Prospective employers seeking Candidate details are required to sign a declaration form in order to guarantee that all Candidate details are supplied for the purposes of recruitment only. Candidate details will not be supplied until AMMA has received the signed declaration form from the employer/s.

In some circumstances, AMMA may be required by law or in adherence with the ASQA standards to make Candidate details available to other parties. In all other circumstances, AMMA will ensure that written permission is obtained from the Candidate before releasing any details.

Privacy Principles

Collection

AMMA will only collect necessary information pertaining to one or more specific operations. The Candidate will be informed as to the purpose for which details are being collected.

Use and disclosure

AMMA will ensure Candidate personal information is not used or disclosed for secondary purposes without obtaining explicit consent from the Candidate, unless a prescribed exception applies.

Data quality

AMMA will take all reasonable measures to ensure that all Candidate personal information that is collected used or disclosed is accurate, current and complete.

Data security

AMMA will take all reasonable measures to ensure all collected Candidate personal information is protected from misuse, loss or damage and that all data and record storage is secured from unauthorised access, modification or disclosure.

Openness

AMMA will maintain documentation which details how Candidate personal information is collected, managed and used. When a Candidate makes an enquiry in relation to information collected, AMMA will explain what information is held, for what purpose it is held, and what procedures outline the collection and use of information.

Access and correction

AMMA will allow Candidates access to personal information held in all circumstances unless prescribed exceptions apply. If the Candidate identifies errors within the information, AMMA will correct and update to file.

Unique Identifiers

AMMA will not assign Candidates unique identifiers except when it is necessary for efficiency of operations. Commonwealth Government identifiers, such as Medicare numbers or Tax File Numbers, will only be used for the purposes of which they were issued.

Anonymity

AMMA will provide Candidates the opportunity to interact with the business without requiring the Candidate to make their identity known in any circumstances it is practical and possible to do so.

Trans Border Data Flows

AMMA privacy protection principles apply to the transfer of data throughout Australia.

Sensitive Information

AMMA will request specific consent from a Candidate in circumstances where it is necessary to collect sensitive information. Sensitive information may include but is not limited to, information relating to a Candidate's health, criminal record, racial or ethnic background.

Apprenticeships and Traineeships

Following approval, AMMA may deliver Traineeships under the Federal Government's New Traineeships and New Apprenticeships Scheme. In order to do so AMMA must demonstrate compliance with the Skilling Australia Workforce Bill 2005; the Act defines the RTO obligations as compliance with the ASQA requirements for (User Choice Contract) funding of Traineeship programs including, RTO reporting obligations.

The opportunity of Productivity Places Programme and other similar funding opportunities will be researched by RTO Management.

Working with persons under 18 years of age

Candidates under 18 years of age may enrol with Australian Mines and Metals Association. A child is considered any individual less than 18 years of age.

It is the responsibility of AMMA to ensure that all Candidates are protected from all forms of harm, including bullying, harassment, discrimination and intimidation. All staff are required to report to Australian Mines and Metals Association, any behaviour that can reasonably be considered harmful or potentially harmful to Candidates, or where it is reasonable to believe that a Candidate has been harmed or requires protection from harm.

In cases where allegations or information indicate it is reasonable to believe a Candidate has suffered from or may require protection from harm, the AMMA must report to the Department of Child Safety.

The initial information that a Child Protection Officer will require is:

- The name, age and address of the child or young person
- The reasons you suspect the child or young person may have experienced or is at risk of experiencing harm
- The immediate risk to the child or young person
- Contact details. You may remain anonymous; however it is preferable to provide these details so that the officer can call you if further information is needed.

If allegations may constitute child abuse by a person external to Australian Mines and Metals Association, the RTO CEO must report the matter to the Police or the Department of Child Safety.

The RTO will comply with all relevant State and Federal legislation in the area of working with children.

AMMA management recommends that all staff obtain the appropriate Police check for child related employment. Information is available at: www.afp.gov.au

Fees and Refund Policy

The RTO must protect fees paid in advance and have a fair and reasonable refund policy. AMMA operates predominately on a 'fee for service' training business. This means all training programs attract fees. These fees are paid by / charged to the Candidate, a government agency or the Candidate's employer.

Fee information is available via:

- AMMA website
- AMMA program brochures
- AMMA promotional material
- Direct email

Each of these information streams will be updated regularly.

All fees will be paid at or prior to the commencement of training unless prior arrangements are made with the RTO management.

Refund Policy

An application for a refund is addressed according to the notice given by the person making the request.

All cancellations must be notified in writing via fax or email. Cancellations received less than 10 (ten) working days prior to the commencement of the course will not be accepted. The Candidate or employer must pay the full cost of the course. Additional resources such as text books will incur an additional cost. Fees will not be refunded or allocated to another program. Approved appropriate substitute delegates are welcome to attend for a transfer fee of \$50.00. Cancellations that occur more than 10 (ten) working days prior to the commencement of the course will be charged a cancellation fee of 50% of the course cost. Substitute delegates are welcome to attend for a transfer fee of \$50.00.

All fees paid in advance are separated from the operations of the business. The account is represented in the RTO's accounting system as a separate repository for funds paid in advance and cannot be accessed until the respective students commence training.

Candidate information Policy

Candidate's details will be entered into the student management database. This will initiate the commencement of the Candidate's file which will become part of the Candidate's records and retained on file by AMMA. Management of the Candidate's file will be in accordance with the Training Records Policy.

Candidate Training Records Policy

Upon enrolment, Candidate's details will be entered into the RTO database system. This process initiates the establishment of the Candidate's individual file which is then used to record all future details pertaining to the client. The file is retained by AMMA and management of the file will be in accordance with the RTO Training Records Policy.

AMMA will be committed to maintaining the accuracy, integrity and currency of all Candidate files, as well as ensuring appropriate security of all records to uphold confidentiality and protect Candidate privacy.

Individual Candidate records will be stored in lockable steel filing cabinet in a locked secure office area. The electronic records are stored in AVETMIS software and are protected by password access. Further security of records is ensured by maintaining up to date virus, firewall and spy ware protection software. Electronic records are copied to a portable hard drive, every four week period. The portable disc drive is stored off site in a fire proof secure location.

AMMA management will undertake a validation of the training records of approximately 5% of registered Candidates and report the findings at the monthly management meeting.

AMMA software and hardcopy systems will retain Candidate's results for a period of not less than 30 years. Enrolment materials and training and assessment materials will be provided in electronic format wherever possible. Material that must be supplied in paper format, once utilised will be scanned and stored electronically.

Paper based records will be scanned and securely shredded every twelve (12) months in accordance with the RTO CEO's directions.

In the event that AMMA ceases to operate, the RTO's records will be transferred to ASQA in the appropriate format and detail as specified by the Department at the time of ceasing RTO operations.

All other records including, training records, taxation records, business and commercial records will be retained for a period of at least seven (7) years.

The RTO will ensure that any confidential information acquired by the business, individuals or committees or organisations acting on behalf of RTO is securely stored.

Access to individual Candidate training records will be limited to those required by the NVR such as:

- Trainers and assessors to access and update the records of the Candidates whom they are working with.
- Management staff as required to ensure the smooth and efficient operation of the business.
- Officers of ASQA or their representatives for activities required under the Standards for Registered Training Organisations.

Or those required by law such as:

- People as are permitted by law to access these records (e.g. subpoena, search, warrants, social service benefits, evidence Act).

Or

- Candidates authorising releases of specific information to third parties in writing.
- The Candidates themselves, after making application in writing.

Recognition of Qualifications Policy

AMMA will recognise all AQF qualifications and statements of attainment issued by any other RTO. If any ambiguity is detected when validating a Candidate's certification, AMMA will seek verification from the relevant RTO before recognising the qualification or statement of attainment.

Recognition of Qualifications Procedure

- Candidates enrolling with AMMA will be made aware of the recognition of qualifications policy by ASQA staff at the time of enrolment to offer the opportunity of recognition of relevant qualifications or statements of attainment prior to the commencement of training.
- AMMA trainers will remind Candidates of the policy progressively throughout the duration of their course.
- When a Candidate presents an AQF qualification or statement of attainment to a trainer or staff member, a copy of the certificates will be taken and submitted to the AMMA for verification.
- AMMA will verify the authenticity of the qualification or statement of attainment. The verified copy of the qualification or statement of attainment is placed in the Candidate's file.
- Once verification of the qualification or statement of attainment has been established, AMMA staff will inform the Candidate and offer exemption from the relevant unit/s of competency. Staff will ensure the Candidate is aware of and understands what component/s of their training and assessment are affected.
- AMMA staff will update the Candidate's records accordingly.

Access and equity policy

AMMA is committed to practicing fairness and equal opportunity for all current and potential Candidates, regardless of sex, race, impairment or any other perceived difference in class or category. AMMA will address access and equity matters as a nominated part of operational duties.

Access and equity procedure

AMMA has developed policies and procedures to guide and inform all staff and Candidates in their obligations regarding access and equity. Upon induction in to AMMA, all staff are provided with copies of the policies which they must adhere to throughout all their operations as an AMMA staff member. Candidates are made aware of the access and equity policy via the AMMA Candidate Handbook and informed of their rights to receive access and equity support and to request further information.

AMMA access and equity policies are in place to ensure that training opportunities are offered to all people on an equal and fair basis in all circumstances, irrespective of their gender, culture, linguistic background, race, socio-economic background, disability, age, marital status, pregnancy, sexual orientation or carer's responsibilities.

Practicing these policies will guarantee that any Candidate who meets AMMA entry requirements will be accepted into any training programs. If any Candidate or staff member has issues or questions regarding access and equity or believes they have been treated unfairly, they will be directed to Australian Mines and Metals Association's management for consultation.

Client Selection

Enrolment and admission into some AMMA training programs is subject to meeting certain pre-requisite conditions. Specific details of the pre-requisites pertaining to these training programs are contained in individual course documentation and are made available prior to enrolment. In the case that a potential Candidate does not meet the pre-requisite conditions, AMMA staff will endeavour to assist them in understanding their options in regards to meeting the standards. Any questions regarding pre-requisites can be addressed by trainers or AMMA management.

Enrolment

The enrolment procedure commences when a Candidate contacts AMMA expressing interested in a training program/s. AMMA staff will respond by dispatching by suitable means an enrolment form, Candidate Handbook, literature on the program/s being considered and any other documentation which may be relevant.

Enrolment applications will then be assessed to ensure that the Candidate meets any pre-requisites that have been set for the selected course. Candidates will be informed of successful enrolment and sent information on the course and their course induction.

Candidates who do not meet the pre-requisites for the selected course will be notified of their unsuccessful enrolment and invited to contact AMMA to discuss their training needs and alternative opportunities.

Pre-course Letter

As an additional support to enrolling Candidates, AMMA will send a pre-course Letter to the Candidate prior to the commencement of training. Information includes the time, date and location of training, the resources the Candidate should bring to the course and overview of the units of competency to be studied and the format / style of training to be provided.

In addition, Candidates are asked to complete a pre-course evaluation checklist. Questions on this checklist are intended to gain more information regarding the needs of the Candidate. AMMA staff will evaluate the information submitted in the checklist and either make necessary changes to meet the Candidate's needs or have a discussion with the Candidate regarding his / her needs.

Pre-course Evaluation checklist

The designated AMMA staff member will receive and assess each Candidate's pre-course evaluation checklist. Based on the information in the checklist, the enrolment form, interview, induction and any other relevant correspondence and conversation, AMMA staff and management may offer additional support. Examples of the support services may include:

- Study support and study skills programs
- Language, literacy and numeracy (LL&N) programs or referrals to appropriate programs
- Equipment, resources and / or programs to increase access for Candidates with disabilities
- Learning resource centres
- Mediation services or referral to appropriate services
- Flexible scheduling and delivery of training and assessment
- Counselling services or referral to appropriate services
- Information technology support
- Learning materials in alternative formats i.e. large print
- Learning and assessment programs customised to the workplace

Induction

On successful completion of the enrolment process, all Candidates will undergo an induction program including:

- Introduction to AMMA training staff
- Confirmation of the course being delivered
- The training and assessment procedures including method, format and purpose of assessment
- Qualifications to be issued

Confirmation that all of the above information was provided and handouts were distributed is required to be acknowledged by the Candidate.

Language, Literacy and Numeracy (LLN) Assistance

AMMA course information and learning materials contain written documentation and limited numerical calculations.

AMMA recognises that not all Candidates will have the same level of ability in relation to reading, writing and performing calculations. When an issue is identified by AMMA staff or requested by a Candidate, a Language, Literacy and Numeracy test will be provided to assess the Candidate's ability. This process is to ensure that all Candidates who commence a training program possess the skills required to understand the presented material and complete assessments.

AMMA will endeavour to provide assistance to Candidates having difficulty with language, literacy and/or numeracy to accommodate their needs. In the event that a Candidate's needs exceed the ability of AMMA staff to assist, the Candidate will be referred to an external support agency so they have the opportunity to obtain the skills required to complete the training program.

Candidate Support, Welfare and Guidance

AMMA will assist all Candidates in their efforts to complete training programs by all methods available and reasonable.

Trainers are responsible for ensuring that all Candidates are aware they can contact their trainer or other AMMA staff members in the event that they are experiencing difficulties with any aspect of their studies. Staff will ensure Candidates have access to the full resources of AMMA to assist them in achieving the required level of competency in all nationally recognised qualifications.

In the event that a Candidate is experiencing personal difficulties, training staff will encourage the Candidate to contact AMMA who will provide discreet, personalised and confidential assistance as according to the nature of the difficulties.

In the event that a Candidate's needs exceed the capacity of the support services AMMA can offer, they will be referred onto an appropriate external agency.

Extensive information regarding support agencies, resources and services may be sources online. AMMA staff members will assist Candidates to source appropriate support.

Flexible Delivery and Assessment Procedures

AMMA recognises that some people are better suited to learning via teaching methods not usually obtained in the traditional classroom setting. With some minor adjustments to teaching and assessment methods, a Candidate who is experiencing difficulty learning and achieving the desired results in the traditional setting may show considerable improvements.

The staff and management of AMMA respect these differences among Candidates and will endeavour to make any necessary adjustments to their methods in order to meet the needs of a variety of Candidates. For example, the inability to complete a written assessment may not be interpreted as a sign of incompetence, provided the Candidate can verbally demonstrate competency.

Acceptable adjustments to teaching and assessment methods may include but are not limited to; having a trainer read assessment materials to Candidates, having a Candidate's spoken responses to assessment questions recorded, or allowing a Candidate to sit for an assessment alone in a different room.

AMMA staff will pursue any reasonable means within their ability to assist Candidates in achieving the required competency standards. In the event that a Candidate's needs exceed the capacity of the support services AMMA can offer, they will be referred onto an appropriate external agency.

Discipline

AMMA make every effort to practice co-operation and mutual respect in all internal and external dealings to uphold high quality, professional training and assessment services. The same disciplined behaviour is expected of Candidates as a contribution to a functional learning environment, and as a sign of respect to staff and fellow Candidates.

Any trainer or staff member who is dissatisfied with the behaviour or performance of a Candidate has the authority to:

- Warn the Candidate that their behaviour is unsuitable, or
- Ask a Candidate to leave the class, without refund or acceptance into another course, or
- Immediately cancel the class.

If a Candidate wishes to object or lodge an appeal against the disciplinary action taken, they have the right and opportunity to follow the AMMA complaint procedure.

Australian Mines and Metals Association's staff are expected to maintain a professional and ethical working relationship with all other staff members, management and Candidates. Breaches of the disciplinary standards will result in discussion between the relevant trainer and AMMA and appropriate action will be taken.

In summary, AMMA will provide:

- Training programs and services that promote inclusion and are free from discrimination.
- Support services, training, assessment, and training materials to meet the needs of a variety of individual Candidates
- Consideration of each individual's needs to provide the best opportunity for skill development and attainment of qualifications that can lead to further training or employment.

- Opportunity for consultation between staff and Candidates, so that all aspects of individual circumstances can be taken into consideration when planning training programs
- Consideration of the views of Candidates' community, government agencies and organisations and industry when planning training programs
- Access to information and course materials in a readily available, easily understood format
- Information to assist Candidates in planning their pathway from school or the community to vocational education and training

If a Candidate identifies with one or more of the following priority groups he / she may be able to receive additional assistance:

- Aboriginal and/or Torres Strait Islander people
- Carers of people who are ill, aged or who have a disability
- People with a disability
- Women and girls who are returning to education and training
- Women and girls who are seeking training opportunities in non-traditional roles
- Young people aged 15 to 25
- Australian South Sea Islanders
- Parental job seekers
- People with English language, literacy and numeracy needs
- Mature aged workers who require up-skilling
- Long-term unemployed and disadvantaged jobseekers
- People from different cultural and ethnic backgrounds
- People who speak a language other than English

Assessment Policy

AMMA will provide training services to Candidates on a 'fee for service' basis.

In developing the assessment (including RPL) for each qualification and unit of competence, the RTO CEO will ensure:

- Compliance with the assessment guidelines from the relevant training package, qualification and unit of competence of accredited course.
- Assessment leads to a qualification or statement of attainment under the Australian Qualifications Framework (AQF).
- Assessment complies with the principles of competency based assessment and informs the Candidate of the purpose and context of the assessment.
- The rules of evidence guide the collection of evidence to support the principles of validity and reliability.
- The application of knowledge and skills is relevant to the standard expected in the workplace, including skills for managing work tasks, contingencies and the job environment.
- Timely and appropriate feedback is given to Candidates.
- Assessment complies with the RTO's access and equity policy.
- All Candidates have access to reassessment on appeal.

Recognition of Prior Learning Policy

The Recognition of Prior Learning (RPL) process will be offered to and explained to all relevant Candidates. All Candidates will have access to the AMMA RPL policy which is contained in the AMMA Candidate Handbook and is available on request or downloaded from Australian Mines and Metals Association.

Recognition of Prior Learning procedure

AMMA appreciates the value of workplace and industry experience and recognises that Candidates will acquire vocational skills and knowledge from a variety of sources other than formal training. These skills are legitimate irrespective of how they were acquired, and the RPL process is designed to provide validation of such relevant skills.

Candidates who believe they have already obtained current skills and knowledge that would otherwise be covered in the qualification / unit of competence for which they intend to attain should apply for RPL at the time of enrolment. The Candidate's skills and knowledge will be assessed and validated, and where appropriate units of competency acknowledged and face-to-face training reduced.

As part of the AMMA enrolment policy, trainers will advise Candidates of the availability of RPL policy, explain what the process involves and how it relates to the attainment of the qualification in some circumstances. Trainers will remind Candidates of this option progressively throughout their time in training in order to provide multiple opportunities for Candidates to engage in the RPL process.

When approached by a Candidate seeking RPL, trainers will:

- Provide the Candidate with copies of an RPL Application Form
- Provide the Candidate with information about the types of evidence that can be used to support an RPL application
- Assess the Candidates information and notify Candidates of the outcome of the RPL process

Recognition of Prior Learning fee policy:

The Candidate will be charged 50% of the scheduled course fee. This includes the initial application, consultation either in person or via phone with the suitably qualified assessor, the RPL assessment and (if successful) certification.

Where the Candidate is not able to achieve the full qualification through RPL and gap training is required a training plan and costing structure will be mutually agreed upon. The basis of the cost structure will be pro-rata on a unit by unit basis based on the scheduled course fee.

Credit Transfer

Credit Transfer refers to the transferral of academic credit obtained by Candidates through participation in courses or national training package qualifications with other RTOs, towards a qualification offered by Australian Mines and Metals Association. Credit Transfer is granted on the basis that the credit validates the Candidate's competency within the relevant qualification / unit of competence.

Credit Transfer of a qualification / unit of competence is available to all Candidates enrolling in any training program offered by Australian Mines and Metals Association.

Quality Training and Assessment Principles

Throughout the policies and procedures of AMMA and in particular within Standard 1, the Principles of Assessment and the Rules of Evidence will be applied.

Principles of Assessment:

To ensure quality outcomes, assessment should be:

- Fair
- Flexible
- Valid
- Reliable
- Sufficient.

Fair

Fairness in assessment requires consideration of the individual Candidate's needs and characteristics, and any reasonable adjustments that need to be applied to take account of them. It requires clear communication between the assessor and the Candidate to ensure that the Candidate is fully informed about, understands and is able to participate in, the assessment process, and agrees that the process is appropriate. It also includes an opportunity for the person being assessed to challenge the result of the assessment and to be reassessed if necessary.

Flexible

To be flexible, assessment should reflect the Candidate's needs; provide for recognition of competencies no matter how, where or when they have been acquired; draw on a range of methods appropriate to the context, competency and the Candidate; and support continuous competency development.

Valid

Assessment is valid when the process is sound and assesses what it claims to assess.

Validity requires that:

- Assessment against the units of competency must cover the broad range of skills and
- Knowledge that are essential to competent performance
- Assessment of knowledge and skills must be integrated with their practical application
- Judgement of competence must be based on sufficient evidence (that is, evidence gathered on a number of occasions and in a range of contexts using different assessment methods). The specific evidence requirements of each unit of competency provide advice on sufficiency.

Reliable

Reliability refers to the degree to which evidence presented for assessment is consistently interpreted and results in consistent assessment outcomes. Reliability requires the assessor to have the required competencies in assessment and relevant vocational competencies (or to assess in conjunction with someone who has the vocational competencies). It can only be achieved when assessors share a common interpretation of the assessment requirements of the unit(s) being assessed.

Sufficient

Sufficiency relates to the quality and quantity of evidence assessed. It requires collection of enough appropriate evidence to ensure that all aspects of competency have been satisfied and that competency can be demonstrated repeatedly. Supplementary sources of evidence may be necessary. The specific evidence requirements of each unit of competency provide advice on sufficiency.

Rules of Evidence:

These are closely related to the principles of assessment and provide guidance on the collection of evidence to ensure that it is:

- Valid
- Sufficient
- Authentic
- Current.

Valid

Assessment is valid when the process is sound and assesses what it claims to assess.

Validity requires that:

- Assessment against the units of competency must cover the broad range of skills and
- Knowledge that are essential to competent performance
- Assessment of knowledge and skills must be integrated with their practical application
- Judgement of competence must be based on sufficient evidence (that is, evidence gathered on a number of occasions and in a range of contexts using different assessment methods). The specific evidence requirements of each unit of competency provide advice on sufficiency.

Sufficient

Sufficiency relates to the quality and quantity of evidence assessed. It requires collection of enough appropriate evidence to ensure that all aspects of competency have been satisfied and that competency can be demonstrated repeatedly. Supplementary sources of evidence may be necessary. The specific evidence requirements of each unit of competency provide advice on sufficiency.

Authentic

To accept evidence as authentic, an assessor must be assured that the evidence presented for assessment is the Candidate's own work.

Current

In assessment, currency relates to the age of the evidence presented by a Candidate to demonstrate that they are still competent. Competency requires demonstration of current performance, so the evidence collected must be from either the present or the very recent past.